

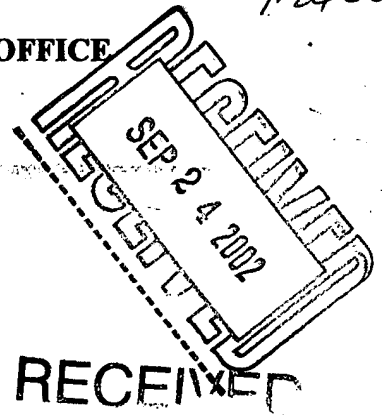


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1762
#2
BT
9-24-02
PATENT
Docket 13DV-14066

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ramgopal Darolia, et al :
Serial No.: 10/064,785 : Group Art Unit: 1762
Filed: August 16, 2002 : Examiner:
For: THERMAL BARRIER COATING AND PROCESS THEREFOR



Hon. Commissioner of Patents & Trademarks
Washington, DC 20231

SEP 18 2002
TC 1700

INFORMATION DISCLOSURE STATEMENT

- ☒ 1. PTO-1449 [1.97(b)] - (within 3 months or prior to first Office Action)
☐ 2. Certification [1.97(c)] - (before final Office Action or before Notice of Allowance)
☐ 3. Fee Payment [1.97(c)] - (before final Office Action or before Notice of Allowance)
☐ 4. Petition, Certification & Petition Fee Payment [1.97(d)] - (before issue fee payment)

The following are submitted in the above-identified application in compliance with 37 CFR §§1.97 and 1.98:

- ☒ 5. A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed.

This paper is submitted in accordance with:

- ☒ 6. 37 CFR §1.97(b) (within three (3) months of the application filing date or before a first Office Action on the merits).
☐ 7. 37 CFR §1.97(c) (before final Office Action or Notice of Allowance, whichever is earlier); and
☐ 8. The required certification made in Item 11 below, or
☐ 9. The \$230.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in Item 14 below.

- ☐ 10. 37 CFR §1.97(d) (before payment of issue fee); and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR §1.17(i) is authorized in Item 14 below.
- b) The required certification is made in Item 11 below.

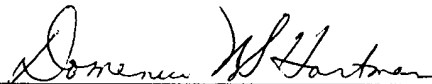
☐ 11. Certification

☐ 12. Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

☐ 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing of this Statement.

- ☐ 14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No.

Respectfully submitted,

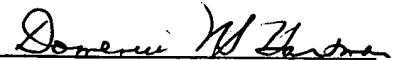


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I hereby certify that this document is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to Box Non-Fee Amendment, Assistant Commissioner for Patents, Washington, D.C. 20231, on September 6, 2002.

Domenica N.S. Hartman



SEP 16 2002

PTO/SB/08 (11-90)

INFORMATION DISCLOSURE CITATION IN AN APPLICATION		Attorney Docket No. 13DV-14066	Application No.
Submitted by: <i>Domenica N.S. Hartman</i>		Applicant: Ramgopal Darolia et al.	
Domenica N.S. Hartman, Reg. No. 32,701 for General Electric Company		Filing Date:	Group Art No.

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Date	Name	Class	Subclass	Filing Date if appropriate
	5,296,274	03/22/94	Movchan et al.	427	274 X	
	5,418,003	05/23/95	Bruce et al.	427	566	
	5,683,825	11/04/97	Bruce et al.	428	698	
	5,906,895	05/25/99	Hamada et al.	428	610	
			Rigney et al. (13DV-13322)			

FOREIGN PATENT DOCUMENTS

Examiner's Initials	Document Number	Date	Country	Class	Subclass	Translation Yes No
	EP166097	01/02/86	Europe	C 23C	4/10	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	P.G. Klemens, <i>Thermal Conductivity of Zirconia</i> , Thermal Conductivity 23 (1996), K.E. Wilks et al., ed., Lancaster, PA, p. 209-220.
Examiner	Date Considered

*Examiner: Initial if reference considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.